Attorney's Docket No.: 09857-0092001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven M. Bloom et al. Art Unit: 3624

Serial No.: 10/077,182 Examiner: Sara M. Chandler Filed: February 15, 2002 Conf. No.: 9522

Title : BALANCING ARBITRAGABLE TRACKING SECURITIES

MAIL STOP AF

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

NOTICE OF APPEAL

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the action dated July 24, 2008, finally rejecting claims 1-20.

A petition for an extension of time under 37 CFR §1.136 to extend the time to respond to the final rejection for 1 month(s) to and including November 24, 2008 is enclosed.

Please charge the required fee of \$540 to Deposit Account No. 06-1050. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: November 21, 2008

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